

Annexure - XI to the Listing Agreement

Quarterly Compliance Report on Corporate Governance

Name of the Company: **HARMONY CAPITAL SERVICES LIMITED**

Quarter ending on : **DECEMBER, 2014**

Particulars	Clause of Listing agreement	Compliance Status Yes/No/NA	Remarks
II Board of Directors	(49 II)	Yes	
(A) Composition of Board	49(IIA)	Yes	
(B) Independent Directors	49 (IIB)	Yes	
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	Yes	
(D) Other provisions as to Board and Committees	49 (IID)	Yes	
E) Code of Conduct	49 (IID)	Yes	
F) Whistle Blower Policy	49(IIF)	N.A	
III. Audit Committee	49 (III)	Yes	
(A) Qualified & Independent Audit Committee	49 (IIIA)	Yes	
(B) Meeting of Audit Committee	49 (IIIB)	Yes	
(C) Powers of Audit Committee 49 (IIC)	49 (IIIC)	Yes	
(D) Role of Audit Committee	49 (IIID)	Yes	
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	
IV. Nomination and Remuneration Committee	49 (IV)	Yes	
V. Subsidiary Companies	49 (V)	N.A	
VI. Risk Management	49(VI)	Yes	
VII. Related Party Transactions	49(VII)	N. A	
VIII. Disclosures	49 (VIII)	Yes	
(A). Related Party Transactions	49(VIIIA)	Yes	} Will be complied with at the AGM
(B) Disclosure of Accounting Treatment	49 (VIIIB)	Yes	
(C) Remuneration of Directors	49 (VIIIC)	Yes	
(D) Management	49 (VIIID)	Yes	
(E). Shareholders	49 (VIIIE)	Yes	
(F) Proceeds from public issues, rights issues, preferential issues etc.	49 (VIIIF)	Yes	
IX. CEO/CFO Certification	49 (IX)	Yes	
X. Report on Corporate Governance	49 (X)	Yes	
XI. Compliance	49 (XI)	Yes	

HARMONY CAPITAL SERVICES LIMITED

Sd/-

AUTHORISED SIGNATORY