

**HARMONY CAPITAL SERVICES LTD.**

(CIN: L67120MH1994PLC288180)

Reg. Office No. 8A, 8<sup>th</sup> Floor, Astral Centre,

470-B, N. M. Joshi Marg, Chinchpokli – West, Mumbai – 400 011

Tel. : 022 - 2300 1206, Website .www.hcsl.co.in, E-mail: [harmonycsl@yahoo.com](mailto:harmonycsl@yahoo.com)

To,

Date: 12/04/2017

**The Manager**

**Listing Dept./Market Operation Dept.**

BSE Limited,

14<sup>th</sup> floor, Phiroz Jeejeebhoy Towers,

Dalal Street, Mumbai – 400 023

**Sub : Disclosure in terms of Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the quarter ended on 31.03.2017.**

**Ref : Harmony Capital Services Limited (Scrip Code-530055, Scrip ID- HRMNYCP)**

Dear Sir/Madam,

Pursuant to Regulation 13(3) of SEBI (listing obligation and disclosures requirement) Regulation, 2015, requiring disclosure of Investors Grievances redressal Mechanism of Company at the end of the quarter, we hereby submit the Certificate issued by our Company's Registrar & Share Transfer Agent, Viz. M/s. Sharex Dynamic (India) Pvt. Ltd for the quarter ended 31<sup>st</sup> March. 2017.

Please take on record the same.

Thanking you,

Yours truly,

**For Harmony Capital Services Limited**

  
**Asutosh Raulo**  
**Managing Director**  
**DIN: 01589574**  
**Encl: a/a.**





# CERTIFICATE



AN ISO 9001-2008 COMPANY

## HARMONY CAPITAL SERVICES LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS  
REPORT FOR THE PERIOD : 01-01-2017 TO 31-03-2017

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	<b>NIL COMPLAINT RECEIVED</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)

